



# FSC Motivações, benefícios e desafios: revisão sistemática da literatura

FSC Motivation, benefits and challenges: A systematic review

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#### Nota de esclarecimento:

O X SINGEP e a 10<sup>a</sup> Conferência Internacional do CIK (CYRUS Institute of Knowledge) foram realizados de forma remota, nos dias 26, 27 e 28 de outubro de 2022.

Agradecimento à orgão de fomento: A Capes por fornecer uma bolsa de doutorado.

ANOS





# FSC Motivações, benefícios e desafios: revisão sistemática da literatura

### Objetivo do estudo

Identificar a principal motivação, bem como os benefícios e desafios sociais, econômicos da certificação FSC ao redor do mundo.

### Relevância/originalidade

Estudos individuais focam em casos diferentes, utilizam metodologias diferentes e podem até apresentar resultados diferentes. A leitura isolada torna-se demorada e cansativa para gestores e profissionais que correm o risco de serem influenciados por um ou mais estudos.

# Metodologia/abordagem

Revisão sistemática da literatura com abordagem qualitativa e quantitativa.

### Principais resultados

Diversas motivação para adotar o FSC foram encontrados. Com relação aos benefícios citam-se: novos mercados, lucratividade, melhorias de imagem, preço premium, segurança e saúde de colaboradores e também aumento da conscientização ambiental dos funcionários.

## Contribuições teóricas/metodológicas

Como principais contribuições teóricas tem-se a união de vários estudos sobre o FSC em apenas um, contribuindo para o avanço das pesquisas a partir daqui.

## Contribuições sociais/para a gestão

Este estudo demonstra os resultados encontrados em diversos casos, proporcionando a partir daqui, o avanço do conhecimento sobre certificação, seja através da definição de políticas para superar os desafios ou mesmo estratégias para potencializar os benefícios.

Palavras-chave: Forest Stewardship Council (FSC); , Revisão Sistemática da Literatura, Motivação, Benefícios, Desafios





FSC Motivation, benefits and challenges: A systematic review

### **Study purpose**

Identify the main motivation as well as social, economic benefits and challenges of the FSC certification around the world.

### Relevance / originality

individual studies focus on different cases, use different methodologies and may even present different results or conclusions Isolated reading becomes painful and tiring for most managers and professionals who are at risk of being influenced by one or more studies.

### Methodology / approach

Systematic review of the literature with qualitative and quantitative approach.

#### Main results

Signaling, market, legal, moral and learning are the motivation do adopt the FSC A variety of social and economic benefits are felt, for example: new markets, profitability, image improvements, price premium, safety and healthcare, also environmental awareness increase of employees.

## Theoretical / methodological contributions

As main theoretical contributions, it is the union of several studies on the FSC into just one, contributing to the advancement of research from here.

### Social / management contributions

As main contributions, this study demonstrates the results found in several cases around the globe, providing from here, the advancement of knowledge about certification, either through the definition of policies to overcome the challenges or even strategies to enhance the benefits.

**Keywords:** Forest Stewardship Council (FSC); , Systematic Literature Review; , Motivation, Benefits, Challenges





## 1 Introduction

Forests are vital for human survival, whether through the provision of ecosystem services, recreational areas, carbon stocks, provision of clean air and water or through its impact on local, regional and even global economic development, namely due to economic benefits gained from wood and non-wood products (Blumroeder et al., 2019; Hermudananto et al., 2018; Rana & Sills, 2018).

Commercial timber extraction has profound impacts on forest ecosystems by causing a deterioration in forests structure and function. Which inevitably affects the provision of ecosystem services and raises the question about the proper balance between this economic activity essential for many countries and the continuous loss of forest areas (Blumröder et al., 2020). The forestry sector has therefore been under pressure to demonstrate that it does not use illegal and predatory practices (e.g. deforestation, degradation and disrespect for the rights of indigenous people); certifying their commitment to society and the environment to continue competing in the market (Alves et al., 2019; Basso et al., 2018; Chen et al., 2020; Espinoza et al., 2012).

Forest certification is defined as an independent assessment method with the objective of evaluating social, economic and environmental performance of an organization (Espinoza et al., 2012; Palus & Kaputa, 2009). It is an important communication system between firm and consumers to address the sustainability of forests products especially when considering the growing number of consumers who are concerned about purchasing products that do not harm the environment.

Among the most varied existing types of certifications Programme for the Endorsement of Forest Certification (PEFC) and Forest Stewardship Council (FSC) dominate the world (Xu & Lu, 2021). The FSC is the most accepted by organizations, civil society and the most widely used to certify forest management (Cerutti et al., 2017; Garzon et al., 2020; Hermudananto et al., 2018; Piketty & Garcia Drigo, 2018; Sánchez-Almendro et al., 2018). Furthermore, it is considered the most rigorous approach to sustainable forest management since its creation in 1993 with the aim of promoting environmentally appropriate, socially beneficial and economically viable management practices (Hoang et al., 2019). In addition to certifying forest management, the FSC can also certify chain of custody, wood controlled and ecosystem services being the most recent incorporation of the FSC (FSC, 2021).

Since its creation in 1993 many studies have been published to better understand how it works, the main motivations behind its adoption, as well as the benefits and challenges of this certification (de Paiva et al., 2015; Fagundes et al., 2021; Malovrh et al., 2019; Rana & Sills, 2018; Sugiura & Oki, 2018). However, those individual studies focus on different cases, use different methodologies and may even present different results or conclusions. Isolated reading becomes painful and tiring for most managers and professionals who are at risk of being influenced by one or more studies that are not represented in the subject under discussion.

Through a systematic review of the literature, this article aims to identify the main motivation as well as social, economic benefits and challenges of the FSC certification around the world. To achieve the proposed objective, the current paper is organized as follows: After the present introduction, the main characteristics of the FSC and its benefits and challenges are briefly described in the next section. After that, the methodology used to pursue the systematic literature review is demonstrated, as well as the main characteristics of the publications selected to be part of this study. The next (fourth) section presents and discusses the results achieved and finally, the paper closes presenting its final considerations and conclusions.





# 2 Methodology 2.1 Research design

Systematic literature reviews result from the application of a detailed, replicable and transparent scientific method of data collection, which allows the audit of the collected data, thus providing a reliable database (Donato & Donato, 2019; Page et al., 2021; Tranfield et al., 2003). As shown on Table 01, there are some essential stages for conducting a systematic review (Tranfield et al. 2003; Donato and Donato, 2019). Firstly, it is essential that researchers plan the study demonstrating the need for the research and structure a protocol (plan the review).

Table 01: Steps of a systematic review research

Table 01: Steps of a systematic review research			
Stage	Step		
	Identify the need for revision		
Plan the review	Prepare the proposal		
	Develop a protocol		
	Identify the research		
	Select the studies		
	Evaluate the quality of the		
Conduct the review	studies		
	Extracting the data and		
	monitoring the progress		
	Synthesize the data		
	Report and recommend		
Report and disseminate	Putting the evidence into		
	practice		

Source: Tranfield et al. (2003) and Donato and Donato (2019).

After having developed the research question and the protocol, the literature search begins (second stage, "Conduct the review"). A key part of a systematic review is an exhaustive search of the literature to find all relevant studies on the subject (Tranfield et al. 2003 Donato and Donato, 2019; Page et al., 2021). It is important to mention that the systematic review usually generates many potentially eligible references, whose inclusion needs to be assessed according to predefined criteria. For this task, the use of some software to manage these references is recommended (Donato & Donato, 2019; Tranfield et al., 2003). For this evaluation title, abstract and keywords are read to determine the relevance of these papers to the topic under analysis and thus eliminate those which are not clearly related to the theme.

Once the most relevant articles have been selected, they are read and critically analyzed to extract the relevant information to answer the previously established research question. Extracted data must be summarized to lead to valid and logical conclusions and only after that, the systematic review article can be written and published so that other people interested in the subject can understand the results achieved ("Report and disseminate" stage).

#### 2.2 Data collection

The initial part of identifying studies took place with the insertion of keywords ("sustainable forestry" OR "forest certification" AND "Forest Stewardship Council" OR "FSC") in the 5 databases in January of 2022. The review was based on peer-reviewed literature published in international scientific journals. This process generated a total of 7.683 results (Wiley= 445; Science Direct= 1,418; Scopus=412; Springer Link= 2,017; Web of Science= 3,396;). After analyzing the general panorama of publications, the articles selection process



IDDN: 2317-0302

began according to the inclusion and exclusion criteria defined for this review. The step-by-step process can be seen in Figure 01.

Identification of studies via databases and registers Records removed from Records removed from Records identified from: automation tool: Scientific automation tool: Subject Area Scopus (n= 412) Web of Science (n= 3.396) Articles Scopus (n = 117) Web of Science (n= 2.507) Scopus (n = 98) Springer (n= 2.017) Web of Science (n= 633) Springer (n= 518) Science Direct (n= 1.418) Springer (n= 1.436) Science Direct (n= 963) Wiley (n= 445) Science Direct (n= 286) Wiley (n= 381) Wiley (n= 24) Records excluded from manual Records screened Scopus (n= 197) Web of Science (n= 256) tool: Repeat between subject area (n = 94); Springer (n= 63) Records excluded from manual Science Direct (n= 169) tool: Duplicate manuscripts Wiley (n= 40) (n=131). Reports excluded: FSC or Forest Stewardship Records screened (title, abstract and keywords) Council does not appear and FSC does not mean Forest Stewardship Council (n = 307) Records screened (final Reports excluded: conference) without the method; (n=193) governance and/or politics environmental indicators; full version unavailable or another language; was not a scientific article; and only mention FSC (n = 119)Studies included in review

Figure 01: Flow chart

Source: Prisma Diagram (2021).

In all individual databases we first used refinement options (filters) such as relevant document types (i.e., research articles) and limiting the sample to specific areas to reach the studies that most interested us. Institutional reports, conference paper and graduate theses were not considered for this paper and the refinement limited to social and economic science. Thus, several areas were excluded such as: Material, Medical, Engineering, Education, Political, Computing and others. In these two processes publications 2.477 and 4.486 were excluded respectively. The areas and the number of studies that remained in each one can be viewed in Table 02.

Table 02: Areas of interest

Areas/Databases	Scopus	Science	Springer	Wiley	Web
Social Sciences	126	169	40	7	17
Economics, Econometrics and Finance	47				
Business, Management and Accounting	23				
(Other) Multidisciplinary	1				55
Economics			13	12	87
Business and Management			9	8	65
Finance			1		
Business and Finance					7
Development Studies				13	19
Business Economics					
Behavioral Science					6





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Total	197	169	63	40	256
Duplicated	49	None	None	21	24
Total	148	169	63	19	232

Source: Databases (2022).

After the studies identification in the screening stage (n= 725), we proceeded with the exclusion of articles. Manuscripts which were double listed were filtered and removed. This process resulted in the exclusion of 225 articles. We proceeded with the eligibility stage (n=500), along the criteria defined in the planning phase. By analyzing the content of the title, abstracts and keywords we excluded 307 more articles as they did not mention the Forest Stewardship Council (FSC) and the acronym had a different meaning. The other meanings identified for the acronym FSC were: Food Supply Chain; Financial Services Company; Family Social Capital; Fiber Supercapacitors; Full-Service Carriers; Fractional Snow Cover; Finite-State Controllers and others.

Before starting with the qualitative phase of the studies, a final conference was held in front of the 193 remaining articles. At this stage, another 119 articles were excluded for reasons such as: without detailing the method makes it impossible to reproduce the study (44); main theme was governance and/or politics (21); the article does not talk about motivations, benefits and/or challenges (14); research with environmental indicators (17); pay wall (authors were contacted, but no response) (10); only mentions the FSC, but does not study the certification itself (7); full version of the article in another language (6). Ultimately, we conducted a qualitative screening of 74 articles.

## 2.3 Research data analysis

The results achieved went through a process of content analysis using the qualitative data analysis software MAXQDA 2020. For the synthesis of our research, we decided to do both quantitative and qualitative analyses of the 74 articles. Digital papers were full reading which permitted more rigorous interpretation. The collected data was based on a coding framework developed through an inductive approach. Coded texts in MAXQDA were converted into an Excel database, whereas insights from a non-digital literature were entered directly into the Excel database. With Excel it was possible to create graphs and tables to facilitate the presentation of results. Quantitative analysis was performed using the IBM SPSS Statistics Software using descriptive statistics tools linear regression and multivariate statistics (Mann-Whitney test). Also following the Tranfield et al. (2003), Donato and Donato (2019) and Page et al., (2021) recommendations, Mendeley was used for reference management.

### 3 Results

The objectives outlined in the studies were to identify the main reasons why organizations choose the FSC and what benefits and challenges it can achieve in the economic and social dimension of sustainability. In Table 03 we summarized the main motivation to adopt the FSC certification found in the systematic review. The results were organized based on Araújo et al., (2009), Faggi et al., (2014) and Galati et al., (2017) studies, where the authors systematize the reasons into 5 categories which are: Signaling (Reducing pressure in social conflicts demonstrating corporate social responsibility); Market (Access market and financial gain); Legal (Compliance with mandatory regulation); Moral (Ethical beliefs and personal values); and Learning (Generation of science and technical knowledge).



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Table 03: Motivation to adopt the FSC certification

Motivation to adopt		
the FSC	Authors	
Signaling		
Image improvements	(A. Galati et al., 2017; Tuppura et al., 2016)	
Competing	(van der Loos et al., 2018)	
certification programs	(vali del Loos et al., 2018)	
Timber traceability	(A. Galati et al., 2017)	
Market	Authors	
Market requirements	(Basso et al., 2018, 2020; Carlsen et al., 2012; A. Galati et al., 2017; Johansson, 2014; A. Tolunay & Türkoglu, 2014; Tuppura et al., 2016)	
	(Araujo et al., 2009; Carlsen et al., 2012; A. Galati et al., 2017; Johansson, 2012;	
Market access	Pinto & McDermott, 2013; A. Tolunay & Türkoglu, 2014; Tricallotis et al., 2019;	
	van der Loos et al., 2018; van Kooten et al., 2005)	
Price premium	(van der Loos et al., 2018)	
Profitability	(Tuppura et al., 2016)	
Legal	Authors	
Government	(Basso et al., 2018; A. Galati et al., 2017; Tuppura et al., 2016; van der Loos et al.,	
requirements	2018)	
Moral	Authors	
Ensure sustainable	(Basso et al., 2018; Berock & Ongolo, 2019; A. Galati et al., 2017; Shvarts et al.,	
forest management	2015)	
Environmental benefits	(Basso et al., 2020; Cashore et al., 2005; A. Galati et al., 2017)	
Employee satisfaction	(A. Galati et al., 2017)	
Risk management	(Tuppura et al., 2016)	
Learning	Authors	
Product quality	(A. Galati et al., 2017)	
Productivity	(Carlsen et al., 2012)	
Improve management	(A. Calatist al. 2017)	
system	(A. Galati et al., 2017)	
Increase internal control	(Tuppura et al., 2016)	

Source: adapted from (Araujo et al., 2009; Faggi et al., 2014; Antonino Galati et al., 2017)

According to Table 03, we found in the literature several motivations to implement the FSC certification. Most of them are related to the benefits it can generate such as market category. In addition to this motivation, moral issues were also highlighted. Although these two categories present a greater number of results, it was also possible to perceive motivations in the categories "signaling, legal and learning" demonstrating the variety of reasons that companies have for adopting the FSC.

As said before, the motivations for adopting a certification are directly linked to the possible benefits. In Table 04, we demonstrate the concrete FSC-benefits found in the systematic review. They were organized into 4 subcategories: employees, local communities (including indigenous people), consumers and producers'/companies' benefits.

Table 04: Social and economic FSC benefits

Benefits	Authors	
Employees		
Environmentalawareness	(Bieri & Nygren, 2011; Hain & Ahas, 2011; Paluš et al., 2017, 2018;	
	Sansalvador & Brotons, 2020)	
Safety and health care	(Bieri & Nygren, 2011; Cerutti et al., 2017; Hain & Ahas, 2011; Tricallotis et	
	al., 2018)	
Local communities	Authors	



products

practices improvement



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	(Araujo et al., 2009; Bieri & Nygren, 2011; Guillaume, 2017; Hain & Ahas,
Stakeholders communication	2007; Johansson, 2012; Kulyasova, 2013; Lescuyer et al., 2021; Quaedvlieg
and participation	et al., 2014; Teitelbaum & Wyatt, 2013; Tricallotis et al., 2018; Tulaeva,
	2013b)
Infrastructure and social	(Cerutti et al., 2017; Degnet et al., 2020; Kalonga & Kulindwa, 2017; Miteva
services improvement	et al., 2015; Tricallotis et al., 2018)
Job generation	(Bieri & Nygren, 2011; Degnet et al., 2020; Miteva et al., 2015)
Customary resource rights	(Bieri & Nygren, 2011; Teitelbaum & Wyatt, 2013; Tricallotis et al., 2018)
Consumers	Authors
Responsible purchasing	(Mighal et al. 2010; Panico et al. 2022)

(Michal et al., 2019; Panico et al., 2022)

Paluš et al., 2018; Quaedvlieg et al., 2014; Tricallotis et al., 2019)

ISSN: 2317-8302

Producers/companies	Authors
	(Berock & Ongolo, 2019; Castka & Corbett, 2016; Cubbage et al., 2009; Hain
Public confidence and image	& Ahas, 2007; Kulyasova, 2013; Narasimhan et al., 2015; Paluš et al., 2018;
improvements	Sansalvador & Brotons, 2020; Ahmet Tolunay & Türkoglu, 2014; Tricallotis
	et al., 2019; Wibowo et al., 2019)
Law compliance	(Bieri & Nygren, 2011; Cerutti et al., 2017; Kalonga & Kulindwa, 2017;
Law compliance	Tricallotis et al., 2018; Tsanga et al., 2014)
New markets and sales	(Araujo et al., 2009; Berock & Ongolo, 2019; Carlson & Palmer, 2016; A.
increase	Galati et al., 2017; Narasimhan et al., 2015; Paluš et al., 2018; A. Tolunay &
	Türkoglu, 2014; Tricallotis et al., 2018)
	(Araujo et al., 2009; Duchelle et al., 2014; S. Eriksson & Hammer, 2006;
Price premium	Kalonga & Kulindwa, 2017; Nebel et al., 2005; Paluš et al., 2018; Tham et
	al., 2021)
Profitability	(Araujo et al., 2009)
Timber traceability	(Lescuyer et al., 2021; Paluš et al., 2017)
Forest management and	(Berock & Ongolo, 2019; Cubbage et al., 2009, 2010; Duchelle et al., 2014;

Some of the social and economic benefits are related to the motivation to adopt the certification, especially the economic ones like new markets, profitability, image improvements and price premium. Furthermore, other economic benefits were also identified, such as: sales increase, household income and job creation. Thus, not only does the organization/producer that has the certification are impacted, but also the local community.

Social benefits are also perceived for employees and local communities, including indigenous people. For employees specifically, safety and healthcare and environmental awareness increase are highlighted. With respect to local communities the benefits linked to the opening of a dialogue between the parties, as well as the participation of all in the decision-making process, stand out. Moreover, customary resources rights are also highlighted.

Although the FSC has many benefits, some social and economic challenges were also identified. Table 05 lists those found throughout the systematic review which are separated into 4 subcategories: employees, local communities, consumers and producers'/companies' challenges.

Table 05: Social and economic FSC challenges

Tuble 03. Bother and conformer Be chancinges		
Challenges	Authors	
Employees	Authors	
Standard knowledge and complex procedures	(Carlsen et al., 2012; Duchelle et al., 2014; Hermudananto et al., 2018; Kongmanee et al., 2020; Paluš et al., 2018; Piketty & Garcia Drigo, 2018; Rafaelet al., 2018; Tsanga et al., 2014; Wibowo et al., 2019; Xu & Lu, 2021)	
Safety and health care	(Hermudananto et al., 2018; Rafael et al., 2018)	
Local Communities	Authors	





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Conflicts	(Cerutti et al., 2017; Doremus, 2019; Hermudananto et al., 2018; Miteva et al., 2015; Niedziałkowski & Shkaruba, 2018; Tricallotis et al., 2018; Xu & Lu, 2021)
Gender equality employed	(Cerutti et al., 2017; Guillaume, 2017; Hain & Ahas, 2007)
Consumers	Authors
Public awareness and seal acceptance	(McDermott, 2012; Michal et al., 2019; Xu & Lu, 2021)
Gaps between willingness-to-buy and actual purchases	(Panico et al., 2022; Xu & Lu, 2021)
Producers/companies	Authors
High costs	(Berock & Ongolo, 2019; Bieri & Nygren, 2011; Carlsen et al., 2012; Carlson & Palmer, 2016; Gregory E. Frey et al., 2021; A. Galati et al., 2017; Kongmanee et al., 2020; Michal et al., 2019; Quaedvlieg et al., 2014; Tricallotis et al., 2018; Tysiachniouk & McDermott, 2016; Xu & Lu, 2021)
External support and lack of equity	(Bieri & Nygren, 2011; Carlson & Palmer, 2016; Duchelle et al., 2014; G. E. Frey et al., 2018; Niedziałkowski & Shkaruba, 2018; Pinto & McDermott, 2013; Scudder et al., 2018; Tysiachniouk & McDermott, 2016; Xu & Lu, 2021)
Investment return	(Bouslah et al., 2010; Espinoza et al., 2012; Foster et al., 2008)
Price premium	(Paluš et al., 2017; Tricallotis et al., 2018; Xu & Lu, 2021)
Auditor's professionalism	(Cook et al., 2021)
Annual allowable cut and low income	(Bieri & Nygren, 2011; Cerutti et al., 2011; L. O. Eriksson et al., 2007; Xu & Lu, 2021)
Low net present value	(Foster et al., 2008)
Low margin	(Paluš et al., 2017)
Material input insufficient and overpriced	(Paluš et al., 2017)
Demand in domestic market	(Basso et al., 2018; G. E. Frey et al., 2018; Xu & Lu, 2021)

A variety of FSC challenges were found in the literature. High cost is the most cited followed by financial dependency. Furthermore, complex procedures, lack of investment return and price premiums are also other major concerns. According to the selected studies the certification also presented several societal challenges. Regarding the employees, there is a lack of knowledge about the FSC standards. Public awareness and seal acceptance are challenges of the FSC in relation to consumers. And finally, local communities, specifically indigenous, they suffer with food insecurity and malnutrition.

#### 4 Discussion

### 4.1 Economic benefits

Although its standards are considered to have the best quality, it is not an easy task to implement the certification in view of the principles and criteria extension and complexity (Alves et al., 2019; Garzon et al., 2020; Wibowo et al., 2019). A series of organizational investments are essential to achieve certification and companies are not always willing invest in it (Basso et al., 2018; Espinoza et al., 2012).

This study's results indicate that the company's motivation to adopt forest certification are more often external rather than internal reasons, and more market driven than regulation driven. Organizations seem to be more interested in the possible economic and financial gain that certification can generate. They look for concrete results to introduce the FSC, especially due to the possibility of operating in new markets or remaining in existing ones, but now require certification (Araujo et al., 2009; Basso et al., 2018, 2020; Carlsen et al., 2012; A. Galati et al., 2017; A. Tolunay & Türkoglu, 2014; Tuppura et al., 2016; van Kooten et al., 2005). In this





sense, companies envision the possibility of increasing their profit and gaining a competitive advantage over their competitors that do not have the certification.

The expectations generated by the implementation of the FSC are not always met. Nevertheless, in this specific study, the results show that some companies are accessing new markets and increasing their sales as expected (Berock & Ongolo, 2019; Carlson & Palmer, 2016; A. Galati et al., 2017; Johansson, 2012; Paluš et al., 2018). In view of the growing presence of consumers concerned about their consumption habits, the FSC seal is an important communication system between companies and consumers to address the sustainability of forest products (Espinoza et al., 2012). However, not all consumers are aware of the cause and recognize the FSC seal. To overcome this challenge, Michal et al., (2019) suggests building reliable communication between the company and consumers which is something that does not happen quickly. Attitudes of consumers are highly dependent on the knowledge of basic information about products. As knowledge increases, positive attitude can also increase.

In addition to access new markets and increase sales, other economic benefits are also perceived, such as: price premium, company reputation and value increase (Nebel et al., 2005; Eriksson et al., 2007; Hain and Ahas, 2007; Kulyasova, 2013; Berock and Ongolo, 2019; Sansalvador and Brotons, 2020; Tham et al., 2021). Demonstrating a companies' commitment to society and the environment has gained a lot attention over the years.

The FSC economic benefits are not always manifested in the same way around the 80 countries that it is present in (FSC, 2021). There are some possible explanations for these facts, such as: weak forest governance; high rates of illegal logging; unsupportive socioeconomic structures; corruption; diverse of forests ecosystems; multifaceted interests toward forest resources; lack of recognition and interest of certified products (Bieri & Nygren, 2011; Carlsen et al., 2012; Michal et al., 2019; Tricallotis et al., 2019).

The difference in economic benefits can also depend on the time of certification. Companies that have been certified the longest tend to feel the benefits first (Nebel et al., 2005). This could be related to the establishment of the companies on the market with certified products followed by an increase in the economic indicators. Economic effects of the certification depend on a long-term monitoring of the selected indicators (Michal et al., 2019; Nebel et al., 2005).

In addition, other FSC-challenges were also encountered. The most cited was the high costs to meet the certification requirements (Berock & Ongolo, 2019; Bieri & Nygren, 2011; Carlsen et al., 2012; Carlson & Palmer, 2016; Gregory E. Frey et al., 2021; A. Galati et al., 2017; Michal et al., 2019; Tricallotis et al., 2018; Tysiachniouk & McDermott, 2016). The closer the organizational processes are to the sustainability concept; less investment is needed to achieve FSC certification. Otherwise, a greater allocation of resources is necessary (Newsom et al., 2006). High costs are directly linked to the discouragement of joining the FSC. Small-scale land managers end up not joining the FSC due to lack of external support for the implementation and maintenance of the certification due to the unavailable resources to invest on it. Among the main limitations, there is: lack of time, skills, capabilities, flexibility, strategic thinking, external networking among others (Bieri & Nygren, 2011; Carlsen et al., 2012; Duchelle et al., 2014; Gregory E. Frey et al., 2021; Nebel et al., 2005; Scudder et al., 2018; Xu & Lu, 2021). Such issues demonstrate the lack of equity that the system presents unlike large companies that have more available resources to overcome the FSC challenges.

#### 4.2 Social benefits





Companies also seek certification to demonstrate the social corporate responsibility, implement sustainable forest management practices and generate environmental benefits. Ethical beliefs and individual values seem to be also present in these kinds of companies.

As well as economic issues, social aspects are also present in the FSC principles and criteria, but more expressively because require companies to comply with national laws and international conventions related to the social well-being of workers and communities surrounding forest including indigenous people (FSC, 2021).

Better working and living conditions of logging companies' employees and their families include: individual home showers; provision of safety gear; health- and life-insurance; local medical facilities; written procedures for waste collection and treatment; active associative bodies; wages and proper holidays; stability and among others benefits (Bieri & Nygren, 2011; Cerutti et al., 2017; Hain & Ahas, 2007; Tricallotis et al., 2019).

However, such improvements do not always happen everywhere. Such difficulties are found in companies located in developing countries where the problem lies in the weak implementation of existing laws (Alves et al., 2019; Bieri & Nygren, 2011; Cerutti et al., 2017). Communities in these countries are concerned that certification omits the local analysis. In this sense, as in other countries, the FSC is limited in relation to social benefits (Carlsen et al., 2012). On the other hand, this kind of improvement becomes more evident when compared to business as usual on developing and tropical countries (Pinto & McDermott, 2013).

As already mentioned, one of the main costs of implementing the certification is the employee training to follow the FSC standard due to the high complexity (A. Galati et al., 2017; Paluš et al., 2018). Training and the obligation to follow the standards may justify the increase of employee's environmental awareness (Bieri & Nygren, 2011; Hain & Ahas, 2007; Palus et al., 2017).

The FSC has also demonstrated a strong commitment to local communities. There have been some important benefits to forest dwellers and forest communities from forest certification. FSC-certified companies make local investments in infrastructure and social services to guarantee the community well-being. Among the main local investments, infrastructure and social services stand out, such as: health center, roads and bridges constructions; more access to basic education; water-supply catchments; impact mitigation of forest operations; firewood donation; authorization to collect non-timber forest products among others (Cerutti et al., 2017; Degnet et al., 2020; Miteva et al., 2015; Tricallotis et al., 2019).

Many of the company investments arise from the integration of the wider community into the decision-making process. Gaining a voice may therefore help build a trust relationship between the parties which gradually makes everyone adopt new rules and values (Kulyasova, 2013; Tulaeva, 2013a).

In addition, other ramifications well beyond the certification process happens. In some cases, stronger community claims to land and resources, also fostering new market relationships are an important role in the mitigation of rural poverty (Bieri & Nygren, 2011) and less conflict relations (Tsanga et al., 2014). However, this mechanism also can be questioned. In some cases, the certification can generate food insecurity, malnutrition and disease incidence which can create conflict and decrease the local community's well-being (Doremus, 2019; Miteva et al., 2015; Tricallotis et al., 2019).

Despite some limitations, the market has been perceiving and highlighting companies that are committed to sustainability (Alves et al., 2019; Basso et al., 2018; Chen et al., 2020; Espinoza et al., 2012). This is still not enough to increase their knowledge and acceptance of the FSC seal and raise awareness of them in order to make a more responsible purchase.

#### **5 Conclusion**





This article aimed to identify the main motivation, benefits and challenges of the FSC certification in the social and economic sustainability sphere. Through a systematic review of the literature, it is believed to have achieved the proposed objective.

Companies or communities opt for FSC certification for several reasons. Despite moral, signaling, legal and learning motivation, market reasons still prevail. Forests are vital for humanity's survival, despite presenting their implementation of certification through a market requirement, companies automatically assume their commitment to the environment which can be an extremely positive point as many illegal practices are left behind.

As main contributions, this study demonstrates the results found in several cases around the globe, providing from here, the advancement of knowledge about certification, either through the definition of policies to overcome the challenges or even strategies to enhance the benefits.

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